

DOCKET NO. CO-0045

TECH CENTER 1600/2300

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**CONTINUED PROSECUTION
APPLICATION** Relating to the
Application of: Michael Antoniou and
Franklin G. Grosveld

Serial No.: 09/247,054

Group Art Unit: 1632

Filing Date: Herewith

Examiner: Baker, A.

**For: SELF-REPLICATING EPISOMAL
EXPRESSION VECTORS
CONFERRING TISSUE-SPECIFIC
GENE EXPRESSION**

CERTIFICATE OF FACSIMILE TRANSMISSION or EXPRESS MAIL INFORMATION

DATE OF TRANSMITTAL: _____

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FAX PHONE NUMBER: _____

DATE OF DEPOSIT: September 15, 2000

I HEREBY CERTIFY THAT THIS PAPER IS BEING
FACSIMILE TRANSMITTED TO THE UNITED STATES
PATENT AND TRADEMARK OFFICE ON THE DATE
SHOWN AND TO THE INDICATED FACSIMILE NUMBER.

TYPED NAME:

09/20/2000 BRABTEL 00000018 09247054

Box CPA
Assistant Commissioner for Patents
Washington DC 20231

| | |
|-----------|-----------|
| 01 FC:231 | 345.00 OP |
| 02 FC:203 | 45.00 OP |
| 03 FC:204 | 130.00 OP |
| 04 FC:217 | 380.00 OP |

Sir:

**CONTINUED PROSECUTION APPLICATION REQUEST
AND REQUEST FOR EXTENSION OF TIME**

This is a request for filing a Continued Prosecution Patent Application based upon the above-referenced, pending patent application. It is requested that the file jacket and contents of the above-referenced, prior application including the specification, drawings, and oath or declaration, be used to constitute the new application and that the same serial number be assigned thereto for identification purposes.

☒ It is requested that the above-referenced application be expressly abandoned after this application is deemed to be completely on file and after granting any necessary

extensions of time.

- ☒ The above-referenced application is not a provisional application.
- ☒ The above-referenced application is complete and was filed either as an originating United States application or as the national stage of a PCT application in compliance with 35 U.S.C. 371.
- ☒ The above-referenced application has not been abandoned and proceedings have not been terminated therein. No issue fee has been paid in that application.
- ☒ The inventors of this application are:
 - ☒ The same as those named in the above-referenced application on the date of the filing of this application;
 - ☐ Fewer than all of the inventors named in the above-referenced application on the date of the filing of this application. This paper is accompanied by a statement requesting deletion of the name or names of the person or persons who are not inventors of the invention being claimed in this application.
- ☐ Enter in this application the amendment previously filed on _____, but not yet entered in the above-referenced application.
- ☐ A preliminary amendment accompanies this application. This amendment is in the form of an amendment to the prior application as it existed on the date of the filing of this application. NO NEW MATTER is being added by way of this amendment.

Also enclosed are the following:

- ☐ Information Disclosure Statement.
 - ☐ PTO Form 1449.
 - ☐ A copy of each of the references listed on the attached Form PTO-1449.
- ☐ An Associate Power of Attorney.
- ☒ An ☐ Executed ☒ Copy of Earlier Statement Claiming Small Entity Status under 37 C.F.R. 1.9 and 1.27
 - ☐ is enclosed.
 - ☒ has been filed in prior application Serial No. 09/247,054 filed February 9, 1999, said status is still proper and desired in present case.
- ☒ Return Receipt Postcard (should be specifically itemized).

FEE CALCULATION

| NUMBERS OF CLAIMS ARE CALCULATED AFTER ENTRY OF ANY AMENDMENT AND IN VIEW OF ALL AMENDMENTS IN PRIOR APPLICATION | | | SMALL ENTITY | | NOT SMALL ENTITY | |
|--|------------------------|--------------|--------------|-------------|------------------|-------|
| | No. Filed | No. Extra | RATE | FEE | RATE | FEE |
| BASIC FEE | | | | \$345.00 | | \$690 |
| TOTAL CLAIMS | 25 - 20 = (minimum) | 5 | \$9 EACH | \$ 45.00 | \$18 EACH | \$ |
| INDEP. CLAIMS | 3 - 3 = (minimum) | 0 | \$39 EACH | \$0 | \$78 EACH | \$ |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM | | | \$130 | \$130.00 | \$260 | \$ |
| <input type="checkbox"/> ONE MONTH EXTENSION OF TIME | | | \$55 | \$ | \$110 | \$ |
| <input type="checkbox"/> TWO MONTH EXTENSION OF TIME | | | \$190 | \$ | \$380 | \$ |
| <input checked="" type="checkbox"/> THREE MONTH EXTENSION OF TIME | | | \$435 | \$435.00 | \$870 | \$ |
| <input type="checkbox"/> FOUR MONTH EXTENSION OF TIME | | | \$680 | \$ | \$1360 | \$ |
| <input type="checkbox"/> FIVE MONTH EXTENSION OF TIME | | | \$925 | \$ | \$1850 | \$ |
| <input checked="" type="checkbox"/> LESS ANY EXTENSION FEE ALREADY PAID | | | minus | (\$ 55.00) | minus | (\$) |
| TOTAL FEE DUE | | | | \$900.00 | | \$ |

- ☒ A Check is enclosed in the amount of \$900.00.
- ☒ Petition is hereby made under 37 C.F.R. 1.136(a) to extend the time for response to the Office Action of March 15, 2000 comprising an extension of the shortened statutory period of 3 month(s).
- ☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.
- ☒ The Commissioner is authorized to charge payment of the following fees and to

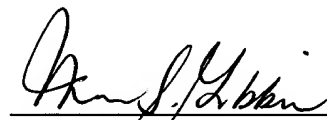
refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate.

- ☐ The foregoing amount due for filing this application.
- ☒ Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.
- ☒ Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).
- ☐ The issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance.
- ☒ The Power of Attorney appears in the prior application.
- ☐ A new Power of Attorney is enclosed.
- ☒ Address all Correspondence as follows:

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SHOULD ANY DEFICIENCIES APPEAR with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date: 9/15/00



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Registration No. 44,121

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